

**SCG Asset Management LLC (“SCG”, “we” or “us”)
Customer Relationship Summary**

May 17, 2021

SCG is registered with the Securities and Exchange Commission (“SEC”) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at <https://Investor.gov/CRS>, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

SCG offers non-discretionary investment advisory services through separately managed accounts to natural person clients (including trusts of such persons). Although the composition of investments in such separately managed accounts may vary amongst such clients, our non-discretionary advisory services may include, but are not limited to, recommendations regarding investments in exchange traded securities, non-exchange traded securities, pooled investment vehicles, structured products, debt securities and corporate bonds.

In connection with our non-discretionary advisory services, we will provide you with our investment views and recommendations with respect to assets in your separately managed account when deemed appropriate by us, or upon your request, in accordance with your investment management agreement with us. However, *you* will be solely and ultimately responsible for making all investment decisions (including any purchase or sale decisions) with respect to assets in your separately managed account. We will monitor your separately managed account generally on a quarterly basis and will provide you with a financial report at least annually.

Our non-discretionary investment advisory services currently have no established minimum account size or investment amount requirements.

For additional information about our services, please see Items 4, 7 and 13 of our current [Brochure](#).

CONVERSATION STARTER: Ask your financial professional:

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

What fees will I pay?

For our non-discretionary investment advisory services, you are charged an annual fixed investment advisory fee (the “**Advisory Fee**”). This Advisory Fee does not include other fees you will be responsible for, including (but not limited to) custodian bank fees, trade transaction and settlement fees (e.g., commissions, markups and other related fees charges by broker-dealers and intermediaries), foreign currency exchange transactions, wire transfer or any other fees or taxes imposed by the custodian bank, broker-dealers or other intermediaries.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see Item 5 of our current [Brochure](#).

CONVERSATION STARTER: Ask your financial professional:

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when acting as my investment adviser? How else does SCG make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means:

- If we recommend and you invest in pooled investment vehicles or similar products managed by one of our affiliates, that affiliate generally will be economically benefited through the receipt of a management fee and (if applicable) performance or other similar incentive-based compensation for such products. We will not reduce our own fees charged to you as a result of the above investment.

CONVERSATION STARTER: Ask your financial professional:

- How might your conflicts of interest affect me, and how will you address them?

For additional information, please see Items 4, 6, 10 and 11 of our current [Brochure](#).

How do your financial professionals make money?

Our financial professionals generally are paid a salary and a discretionary bonus, which is based on the employee's individual performance. Our Firm's chief executive currently receives no salary from SCG but does receive income from SCG and its affiliates as a beneficial owner of such entities through certain personal and family trusts. Such income includes (but is not limited to) revenue generated from advisory fees received by SCG for its advisory services as well as asset-based fees and performance-based compensation received by SCG and its affiliates from pooled investment vehicles managed by such entities.

Do you or your financial professionals have legal or disciplinary history?

No. Visit <https://Investor.gov/CRS> for a free and simple search tool to research SCG and our financial professionals.

CONVERSATION STARTER: Ask your financial professional:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

For additional information about our services, please see our current [Brochure](#) or contact us at (312) 784-3952.

CONVERSATION STARTER: Ask your financial professional:

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?